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IBM Docket No. RSW919990130US

## In the United States Patent and Trademark Office Patent Application Transmittal

*Transmitted herewith for filing is the Patent Application of:*

Inventors(s): Sanjay Khanna and Lori A. Napoli

For: Technique for Serializing Data Structure Updates and Retrievals Without Requiring  
Searchers to Use Locks

Assignee Name: International Business Machines Corporation

Assignee Residence: Armonk, New York

*Enclosed are*

- 26 pages of specification, including 18 claims, plus 6 sheets of formal drawings.
- An assignment to International Business Machines Corporation, Armonk, New York 10504.
- A certified copy of a/an application.
- Declaration and Power of Attorney.
- PTO-1449 & references
- A return post card
- Other:

*Filing Fee Calculation (For Other Than Small Entity)*

|                                    |       |       |       |       |                |          |
|------------------------------------|-------|-------|-------|-------|----------------|----------|
| Basic Fee:                         |       |       |       |       |                | \$710.00 |
| Claims Fees:                       | Filed | Limit | Extra |       | Rate per Extra |          |
| Total claims:                      | 18    | 20    | 0     |       | \$18.00        | \$0.00   |
| Independent claims:                | 5     | 3     | 2     |       | \$80.00        | \$160.00 |
| Multiple Dependent Claim Presented |       |       |       |       | \$270.00       | \$0.00   |
|                                    |       |       |       | Total |                | \$870.00 |

Please charge the Deposit Account identified below for the Total set forth above. The Commissioner is authorized to charge payment of any additional filing fees required under 37 CFR §1.16 and any patent application processing fees under 37 CFR §1.17 or to credit any overpayment to the identified account. A duplicate copy of this sheet is enclosed.

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| Express Mail Certificate   |  |
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| Date: January 3, 2001  |  |
| I hereby certify that I am depositing the papers identified above with the U.S. Postal Service "Express Mail Post Office to Address" service on the above date, addressed to the Assistant Commissioner for Patents, Washington, DC 20231. |  |
| <i>Catherine M. Robbins</i>  |  |
| Catherine M. Robbins   |  |

BY: *Jerry W. Herndon*  
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